

## FINSA Client information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FINSA), we would like to supply you with this information sheet which provides an overview of SpeedLab AG (hereinafter referred to as the «financial institution») and its services.

### A. Company information

#### Address

Alte Steinhauserstrasse 21  
6330 Cham  
Phone: +41 41 5880 362  
Email: [office@speedlab.ag](mailto:office@speedlab.ag)  
Website: <https://www.speedlab.ag>

The financial institution was established in 2014.

#### Supervisory authority and audit firm

Currently (as of June 2022), the financial institution is supervised by the self-regulatory organisation VQF Association for Quality Assurance of Financial Services. With the new Financial Institutions Act (FinIA), all financial institutions will in future need a licence from FINMA to carry out their professional activities as asset managers. The deadline for submitting the application is the end of 2022. The financial institution has already submitted the application to the competent licensing authorities for authorization as an asset manager. The future authorization status can be found on the homepage.

The financial institution is audited and reviewed by the auditing company CAPREV Wirtschaftsprüfung und -beratung AG in terms of supervisory law and by BDO Switzerland in terms of obligations. The address of the VQF and CAPREV Wirtschaftsprüfung und -beratung AG can be found below.

VQF Verein zur Qualitätssicherung von Finanzdienstleistungen  
General-Guisan-Strasse 6  
6300 Zug  
Telefon: +41 41 763 28 20  
E-Mail: [info@vqf.ch](mailto:info@vqf.ch)  
Webseite: [www.vqf.ch](http://www.vqf.ch)

CAPREV Wirtschaftsprüfung und -beratung AG  
Industriestrasse 47  
6300 Zug  
Telefon: +41 41 761 92 45  
E-Mail: [info@caprev.ch](mailto:info@caprev.ch)  
Webseite: [www.caprev.ch](http://www.caprev.ch)

#### Ombudsman

The financial institution is affiliated with the independent ombudsman office FINOS, which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the customer and the financial services provider should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure. The address of FINOS is stated below.

Name Ombudsmann: Finanzombudsstelle Schweiz FINOS  
Address: Talstrasse 20  
Zip code / State: 8001 Zürich

Phone:

E-Mail: [info@finos.ch](mailto:info@finos.ch)

Website: [www.finos.ch](http://www.finos.ch)

## B. Information on the offered financial services

The financial institution provides asset management services, as well as execution-only services to its clients.

The financial institution also provides financial services in collective investment schemes. For further information on the various collective investment schemes, the general risks, specifications, and operating procedures, please refer to the relevant prospectuses, offering memorandums and factsheets which are available upon request.

The financial institution does not guarantee any yield, nor performance of investment activities. The investment activity can therefore lead to an appreciation or a depreciation in value.

## C. Client segmentation

Financial service providers are required to classify their customers into a client segmentation according to the law and adhere to the respective code-of-conduct. The Financial Services Act provides for «retail customers», «professional customers» and «institutional customers» segments. For each customer, a customer classification is determined within the framework of the cooperation with the financial institution. Subject to certain conditions, the customer may change the customer classification by opting in or opting out.

## D. Information on risks and costs

### General risks associated with financial instruments transactions

The asset management services involve financial risks. The financial institution shall provide all clients with the «Risks associated with Financial Instruments Transactions» brochure prior to the execution of the contract. This brochure can also be found at [www.swissbanking.org](http://www.swissbanking.org).

Clients of the financial institution may contact their client advisor at any time if they have any further questions.

### Risks associated with the offered services

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant asset management agreements.

In the case of investment advice, the financial institution shall provide its retail clients with the basic information sheet of the recommended financial instrument.

### Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis. For more detailed information, please refer to the relevant asset management agreements and/or product prospectuses, offering memorandums or relevant legal documents.

## E. Information about relationships with third parties

In connection with the financial services offered by the financial institution, economic ties may exist with third parties. The acceptance of payments from third parties as well as their treatment are regulated in detail and comprehensively in the respective investment advisory and asset management contracts.

## F. Information on the market offer considered

The financial institution basically follows an «open universe approach» and tries to make the best possible choice for the client when selecting financial instruments. The financial institution's own collective investments can – where appropriate – be used in the asset management mandates or recommended as part of investment advice.